

SHARE DEALING

Transfer Form

**Halifax Share Dealing
Self Invested Personal Pension**



a little extra help



Transfer Form

Transferring Member Instructions

This form is an application to transfer benefits from another registered pension scheme into a Halifax Share Dealing SIPP administered by A J Bell Management Limited (the “receiving scheme”). This form is supplementary to the Application Form completed by the transferring member.

If you would like a copy of this or any other item of our other literature in large print, Braille or in audio format, please contact us on 08457 22 55 25 or by e-mail: hsdlsipp@sippdeal.co.uk.

You must complete all of the details requested on the following page, sign the declaration and return the form to us at the address below.

**The Halifax Share Dealing SIPP Administration Team
A J Bell Management Limited
Trafford House
Chester Road
Manchester
M32 0RS**

**Tel: 08457 22 55 25
Fax: 0845 54 32 601**

To be completed by the Transferring Member

Full Name of Member

Date of Birth

Your SIPP Ref. (if known)

Full name of Transferring Pension Scheme (if known)

Existing Plan number or Policy No. under Transferring Scheme

Full name of Administrator of Transferring Scheme

Address of Administrator of Transferring Scheme

Town

County

Post Code

Country

Is the transferring scheme a final salary pension scheme?

Yes No

If yes, please note that we will not accept transfers from final salary pension schemes unless you have received advice on the transfer from a suitably qualified financial adviser.

Have you received advice from such an adviser?

Yes No

Is the transfer cash only?

Yes No

Is the transfer to contain protected rights?

Yes No

Approximate protected rights fund value to be paid to the receiving scheme

Does this represent the full value of the protected rights in the plan?

Yes No

Approximate non-protected rights value to be paid to the receiving scheme

Does this represent the full value of the non-protected rights in the plan?

Yes No

Are the funds being transferred:

- a) Already entirely in drawdown
- b) Already partially in drawdown
- c) Not in drawdown

For an in-specie transfer, please provide the name and address of the existing investment manager/stockbroker below (if applicable). Please also complete the list of the assets to be transferred and their values in the assets to be re-registered section below. If this section is not completed this may lead to delays in processing the transfer.

Name of Investment Manager / Stockbroker

Address of Investment Manager / Stockbroker

Town

County

Post Code

Country

I promise to accept responsibility in respect of any claims, losses and expenses that Halifax Share Dealing SIPP or A J Bell Management Limited or Sippdeal Trustees Limited and the current provider may incur as a result of any incorrect information provided by me in this application or of any failure on my part to comply with any aspect of this application.

I confirm that, where I am transferring protected rights, I wish to transfer these from the current provider to the receiving scheme.

I apply to the scheme administrator of the receiving scheme to accept the transfer from the transferring scheme and to pay it into my SIPP. I confirm that the information provided relevant to my application to transfer benefits into my SIPP is correct and complete, to the best of my knowledge and belief.

I confirm that I have not received any advice or recommendation in relation to the transfer from, a representative of Halifax Share Dealing SIPP or A J Bell Management Limited or Sippdeal Trustees Limited.

Additional declaration for transfers of income drawdown benefits (if applicable)

I declare that all, or a part, of the transfer payment has been designated to provide income drawdown pension benefits for me under the transferring scheme. I understand that the transferring scheme is responsible for confirming the amount (if any) designated to provide these benefits.

I further understand that the amount of the transfer payment representing these benefits will be applied to provide me with income drawdown benefits under the receiving scheme and will be subject to the same maximum income limit (if any) as under the transferring scheme, until such time as a further review of this limit is triggered. I also understand that, where the maximum income limit has not been reviewed since 6 April 2011, the transfer may bring forward the date of the review of that limit.

Signed

Date

Transferring Scheme Instructions

If you require any further forms to be completed by the transferring member please contact the member directly.

For a cash transfer, your cheque must be made payable to 'Sippdeal Trustees Limited re [insert name of transferring member]' and sent to the address shown above.

For all transfers you must provide us with full details of the benefits being transferred. The information that you provide must confirm:

- That the transferring scheme is a registered pension scheme under Finance Act 2004.
- The transferring scheme's HMRC Pension Scheme Tax Reference.
- The Total Transfer Value.
- Whether the transfer contains any protected rights/contracted out benefits and, if so, full details of them.
- Whether the transfer contains any crystallised benefits and, if so, full details of them.
- Details of any transitional protection relating to the benefits or the transferring member and, if relevant, whether the transfer is part of a block transfer.
- Whether the transfer value derives from a Pension Sharing Order or Provision, or whether an Earmarking Order is in force in relation to the transferring benefits.

For all transfers you must also return this Transfer Authority Form, or a copy of it, to us at the address shown above.

A J Bell Management Limited is the Scheme Administrator of the Halifax Share Dealing SIPP. A J Bell Management Limited is registered in England No. 3948391. Registered Office: Trafford House, Chester Road, Manchester M32 0RS. Authorised and regulated by the Financial Services Authority and on the FSA register under FSA register number 211468. Sippdeal Trustees Limited is a wholly owned subsidiary of A J Bell Management Limited, registered in England No. 4050222. Registered Office: Trafford House, Chester Road, Manchester M32 0RS. Sippdeal Trustees Limited does not conduct any regulated activities, and is, therefore, not regulated.

Dealing and stockbroking administration services are provided by Halifax Share Dealing Limited, which is part of the Lloyds Banking Group. Halifax Share Dealing Limited is registered in England No. 3195646 Registered Office: Trinity Road West Yorkshire HX1 2RG. Authorised and regulated by the Financial Services Authority, 25 The North Colonnade, Canary Wharf, London E14 5HS. A Member of the London Stock Exchange and an HM Revenue & Customs approved ISA Manager.

